

## Section 4.3

### The Mean Value Theorem

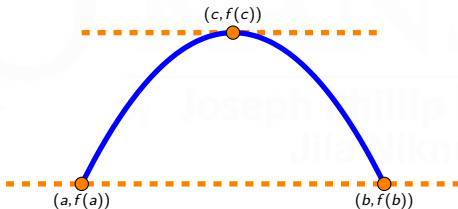
- (1) Rolle's Theorem and the Mean Value Theorem
- (2) The First Derivative Test

## Rolle's Theorem

Suppose that  $f$  is a function such that

- (I)  $f$  is continuous on  $[a, b]$ ,
- (II)  $f$  is differentiable on  $(a, b)$ ,
- (III)  $f(a) = f(b)$ .

Then there exists a value  $c$  in  $(a, b)$  such that  $f'(c) = 0$ .



Equivalently: there is a value  $c$  in  $(a, b)$  such that the **tangent line** at  $(c, f(c))$  is **parallel** to the **secant line** from  $(a, f(a))$  to  $(b, f(b))$ .

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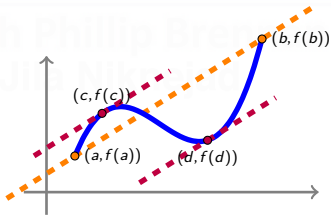
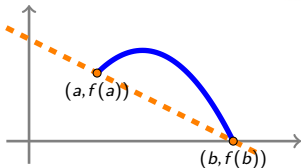
What if we drop the assumption that  $f(a) = f(b)$ ?

## The Mean Value Theorem (MVT)

Suppose that  $f$  is a function that is **(I)** continuous on  $[a, b]$ , and **(II)** differentiable on  $(a, b)$ .

Then there exists a value  $c$  in  $(a, b)$  such that  $f'(c) = \frac{f(b) - f(a)}{b - a}$ .

**Idea:** Take the secant line from  $(a, f(a))$  to  $(b, f(b))$  and slide it until it becomes a tangent line.



# Mean Value Theorem: Examples

**Example 1:** Let  $f(x) = x^3 - x$  on the interval  $[0, 2]$ .

Solution: The requirements of the Mean Value Theorem are satisfied since polynomials are differentiable (thus continuous) everywhere.

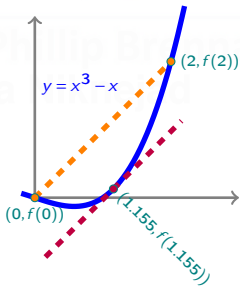
$$f(0) = 0 \quad f(2) = 6 \quad \text{Secant line slope: } \frac{f(2) - f(0)}{2 - 0} = 3$$

The MVT guarantees that there is **some**  $c$  in  $(0, 2)$  such that  $f'(c) = 3$ .

In this case we can find  $c$  explicitly:

$$3 = f'(c) = 3c^2 - 1 \quad 3c^2 = 4$$

$$\Rightarrow c = \frac{2}{\sqrt{3}} \approx 1.155$$



# Mean Value Theorem: Examples

**Example 2:** Let  $f(x) = x^4 - x^2 - 3x$  on the interval  $[0, 2]$ .

Solution: The requirements of the Mean Value Theorem are satisfied since polynomials are differentiable (thus continuous) everywhere.

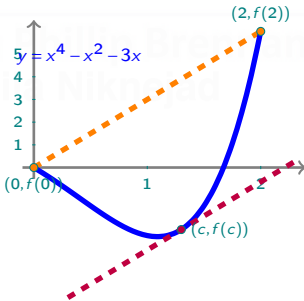
$$f(0) = 0 \quad f(2) = 6 \quad \text{Secant line slope: } \frac{f(2) - f(0)}{2 - 0} = 3$$

The MVT guarantees that there is **some**  $c$  in  $(0, 2)$  such that  $f'(c) = 3$ .

Can we find  $c$  explicitly?

$$3 = f'(c) = 4c^3 - 2c - 3 \\ \Rightarrow 4c^3 - 2c - 6 = 0$$

No, but we know it must exist!

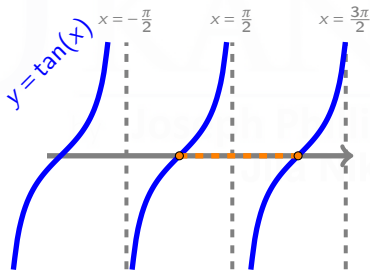


# Mean Value Theorem: Examples

**Example 3:** Let  $f(x) = \tan(x)$ .

Since  $f(0) = f(\pi)$ , does Rolle's Theorem guarantee that there is a value  $c$  in  $(0, \pi)$  such that  $f'(c) = 0$ ?

— **NO**, because  $f(x)$  is not continuous on  $[0, \pi]$ .



(In fact,  $f'(x) = \sec^2(x) > 0$  for all  $x$  in the domain.)

# Mean Value Theorem: Examples

**Example 4:** Find  $M$  and  $m$  such that  $m \leq f(-2) \leq M$  if  $f$  is a function where  $f(1) = 3$  and  $-1 \leq f'(x) \leq 4$  for all  $x$ .

Solutions:

Since  $f'(x)$  exists everywhere, the Mean Value Theorem applies to  $f(x)$  on the interval  $[-2, 1]$ . There exist **Some**  $c$  in  $(-2, 1)$  where

$$f'(c) = \frac{f(1) - f(-2)}{1 - (-2)} = \frac{3 - f(-2)}{3} = \text{Slope of Secant line}$$

$$\Rightarrow 3f'(c) = 3 - f(-2) \Rightarrow f(-2) = 3 - 3f'(c)$$

$$\Rightarrow 3 - 3(4) \leq f(-2) \leq 3 - 3(-1) \Rightarrow \underbrace{-9}_m \leq f(-2) \leq \underbrace{6}_M$$



## Consequences of the Mean Value Theorem

**Theorem 1:** If  $f'(x) = 0$  for all  $x$  in  $(a, b)$ , then  $f$  is constant on  $(a, b)$ .

**Theorem 2:** If  $f'(x) > 0$  for all  $x$  in  $(a, b)$ , then  $f$  is increasing on  $(a, b)$ .

**Theorem 3:** If  $f'(x) = g'(x)$  for all  $x$  in  $(a, b)$ , then  $f(x) = g(x) + C$ , where  $C$  is some constant.

**Proof of Theorem 1:** Suppose that  $A, B$  are arbitrary numbers with  $a < A < B < b$ .

Since  $f'$  exists for all values in  $(a, b)$ ,  $f$  is continuous and differentiable on  $(a, b)$  and MVT holds on the interval  $[A, B]$ .

Therefore, there exists  $c$  in  $[A, B]$  such that

$$f'(c) = \frac{f(B) - f(A)}{B - A}$$

But  $f'(c) = 0$ , so  $f(B) - f(A) = (B - A)0 = 0$ .

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**Theorem 3:** If  $f'(x) = g'(x)$  for all  $x$  in  $(a, b)$ , then  $f(x) = g(x) + C$ , where  $C$  is some constant.

**Proof of Theorem 2:** We need to prove that if  $a < A < B < b$ , then  $f(A) < f(B)$ . Again, apply the MVT to the interval  $[A, B]$ . The conclusion is that there exists  $c$  in  $[A, B]$  such that

$$f'(c) = \frac{f(B) - f(A)}{B - A}.$$

But  $f'(c) > 0$  and  $B - A > 0$ , so  $f(B) - f(A) > 0$ , i.e.,  $f(B) > f(A)$ .

## Consequences of the Mean Value Theorem

**Theorem 1:** If  $f'(x) = 0$  for all  $x$  in  $(a, b)$ , then  $f$  is constant on  $(a, b)$ .

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**Theorem 3:** If  $f'(x) = g'(x)$  for all  $x$  in  $(a, b)$ , then  $f(x) = g(x) + C$ , where  $C$  is some constant.

**Proof of Theorem 3:** Let  $h(x) = f(x) - g(x)$ .

Since  $f'(x) = g'(x)$ , it follows that  $h'(x) = 0$  on  $(a, b)$ .

Now Theorem 1 implies that  $h(x) = C$  on  $(a, b)$ , where  $C$  is some constant.

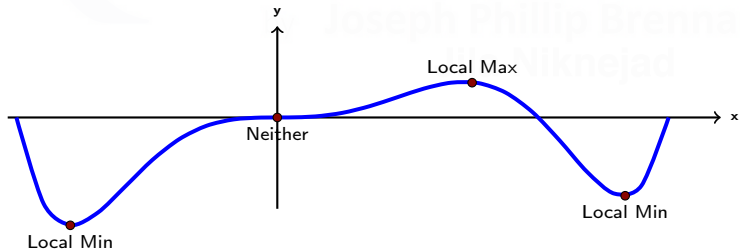
Therefore  $f(x) = g(x) + C$ .

# The First Derivative Test

## First Derivative Test for Local Extrema

Suppose that  $c$  is a critical number of a continuous function  $f$ .

- (I) If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$ .
- (II) If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $c$ .
- (III) If  $f'$  does not change sign at  $c$ , then  $c$  is not a local extremum.

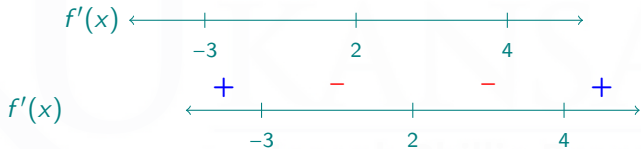


**Example 5:** Find the local extrema of  $f$  if  $f'(x) = (x-4)^3(x+3)^7(x-2)^6$ .

**Solution:** Observe that  $f$  has critical numbers  $\{-3, 2, 4\}$ .

Break the number line into intervals at the critical numbers.

Then determine the sign of  $f'(x)$  at some value in each interval.



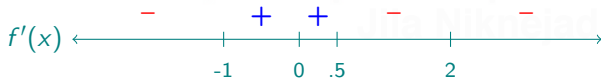
- $(-3, f(-3))$ : local maximum point
- $(2, f(2))$ : not an extremum
- $(4, f(4))$ : local minimum point

**Example 6:** Find the local extrema of the function  $f(x) = \frac{(x+1)^2}{x(x-2)}$ .

**Solution:** First, calculate  $f'(x)$  using the Quotient Rule:

$$\begin{aligned} f'(x) &= \frac{x(x-2)[2(x+1)] - (x+1)^2[2x-2]}{x^2(x-2)^2} \\ &= \frac{2(x+1)(x(x-2) - (x+1)(x-1))}{x^2(x-2)^2} = \frac{2(x+1)(1-2x)}{x^2(x-2)^2} \end{aligned}$$

Critical numbers:  $-1, \frac{1}{2}, 0, 2$ . Note that  $0, 2$  are not in the domain of  $f$ .



By the First Derivative Test,  $x = -1$  is a local minimum and  $x = \frac{1}{2}$  is a local maximum.

**Example 6 (continued):** Find the local extrema of the function

$$f(x) = \frac{(x+1)^2}{x(x-2)}.$$

By the First Derivative Test,  $x = -1$  is a local minimum and  $x = \frac{1}{2}$  is a local maximum.

